OCL O & TONA TH			Approved for use	PTO/SB/21 (09-04) through 07/31/2006. OMB 0651-0031
TRANSMITTAL FORM (to be used for all correspondence after initial Total Number of Pages in This Submission	Filing Date First Named Inventor Art Unit Examiner Name	May 4, 2 Wong 3693 Ella Col	2001	
ENCLOSURES (Check all that apply)				
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence A Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks	ddress	Appea of Appea (Appea Propri	Allowance Communication to TC al Communication to Board beals and Interferences al Communication to TC al Notice, Brief, Reply Brief) etary Information s Letter Enclosure(s) (please Identify):
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm Name Baker Botts LLP Signature				
Printed name Manu J. Tejwani				
Date 10/04/2006	Reg. No. 37,95			
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature				
Typed or printed name Manu J. Tejwani				10/04/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Wong et al.

Serial No.

09/849,013

Examiner: Ella Colbert

Filed

May 4, 2001

Group Art Unit: 3693

For

CUSTOMIZED DERIVATIVE SECURITIES

REPLY TO OFFICE ACTION

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450

October 4, 2006

Date of Deposit

Manu J. Tejwani
Attorney Name

37.952

PTO Registration No.

Signature

October 4, 2006

Date of Signature

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 212313-1450

Sir:

In response to the Office Action dated July 5, 2006, Applicants amend the claims as listed in the Claims section beginning on page 2 of this paper and present remarks in the Remarks section beginning on page 4 of this paper.